

PATENT

Docket No. RSW920010215US1

Express Mail No. EL875291635US

02-27-02

02/26/02



1040 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/083170

10/083170



02/26/02

INVENTOR(S): Vincent Formale, Markus Koestler, Jamie Randolph, Geetika Shinde, Andree' Taylor, Gerald Tieskoetter, and Lagray Wells

APPLICATION NO. Not Yet Assigned

FILED: Herewith

TITLE: METHOD, SYSTEM, AND GRAPHIC USER INTERFACE FOR AUTOMATED ASSET MANAGEMENT

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence, along with any papers indicated as being enclosed, are being deposited as Express Mail, (label EL875291635US), postage prepaid, in an envelope addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231, on February 26, 2002.

2-26-02
Date

Christopher Ricco
Christopher Ricco

BOX PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231

NEW APPLICATION TRANSMITTAL LETTER

Sir:

Enclosed are the following papers relating to the above-named new application for patent:

1. Specification (15 pgs.), claims (10 pgs.) and abstract (1 pg.);
2. Drawings (10 sheets);
3. Declaration and Power of Attorney (executed);
4. Assignment and Recordation Form Cover Sheet; and
5. Information Disclosure Statement, including 1 sheet of PTO-Form 1449 and the publications cited therein.

CLAIMS AS FILED				
	No. Filed	No. Extra	Rate	Calculations
Total Claims	30 - 20 =	10	\$18	\$180.00
Independent Claims	3 - 3 =	0	\$80	\$0.00
Multiple Dependent Claim(s), if applicable			\$270 =	\$0
Basic Filing Fee				\$740.00
			Total Fee:	\$920.00

Please file the application and charge **International Business Machines (IBM)** Account No. 09-0461 the amount of \$960.00 to cover the filing fee (\$920.00) and Assignment Recordal Fee (\$40.00). Two copies of this letter are enclosed. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit Deposit Account No. 09-0461 as required to correct the error.

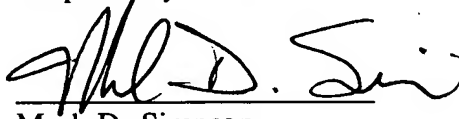
Please address all correspondence to:

Mark D. Simpson, Esquire
 Synnestvedt & Lechner LLP
 2600 Aramark Tower
 1101 Market Street
 Philadelphia, PA 19107-2950

Telephone calls should be directed to the undersigned at (215) 923-4466.

Respectfully submitted,

2/26/02
 Date


 Mark D. Simpson
 Registration No. 32,942
 Attorney for Applicant
 International Business Machines Corporation